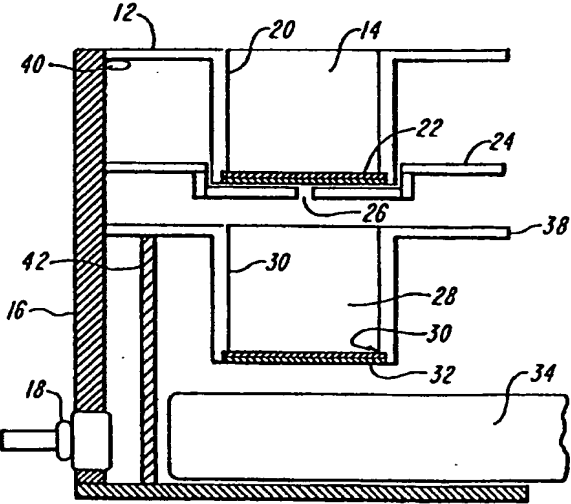


PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : C12N 15/10, B01D 61/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 97/08306 (43) International Publication Date: 6 March 1997 (06.03.97)</p>
<p>(21) International Application Number: PCT/US96/13920 (22) International Filing Date: 30 August 1996 (30.08.96) (30) Priority Data: 08/521,638 31 August 1995 (31.08.95) US (71)(72) Applicant and Inventor: KÖSTER, Hubert [DE/US]; 1640 Monument Street, Concord, MA 01742 (US). (74) Agents: ARNOLD, Beth, E. et al.; Lahive & Cockfield, 60 State Street, Boston, MA 02109 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
<p>(54) Title: FILTRATION PROCESSES, KITS AND DEVICES FOR ISOLATING PLASMIDS</p> <p>(57) Abstract</p> <p>Processes, kits and preferred devices for rapidly isolating large numbers of plasmid DNAs from plasmid containing cells and for performing high throughput DNA sequencing are described.</p> 		

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

FILTRATION PROCESSES, KITS AND DEVICES FOR ISOLATING PLASMIDS

5

Background of the Invention

10 Bacterial plasmids are double-stranded closed circular DNA molecules that range in size from 1kb to more than 200kb. They are found in a variety of bacterial species, where they behave as accessory genetic units that replicate and are inherited independently of the bacterial chromosome. Nevertheless, they rely on enzymes and proteins encoded by the host for their replication and transcription. Frequently, plasmids contain genes coding for enzymes that under certain circumstances in nature are advantageous to the bacterial host.

15 Among the phenotypes conferred by plasmids are resistance to antibiotics; production of colicins and enterotoxins; and restriction and modification enzymes.

Plasmids are useful tools in genetic engineering. They can be joined with fragments of foreign DNA *in vitro* to form chimeras that can be introduced into bacterial host cells; amplified and isolated or expressed (See for example, U.S. Patent Nos. 4,237,234; 4,740,470 and 4,468,464 to Cohen *et al.*). A variety of plasmids have been developed to perform specialized functions. For example, plasmids have been constructed with powerful promoters to generate large amounts of mRNA complementary to cloned sequences of foreign DNA and thereby express high levels of protein.

25

Various plasmids (e.g. pUC), cosmids and phagemids (e.g. pEMBL, pGEMA) are useful cloning vectors for initiating large scale sequencing projects (T. Maniatis, E.F. Fritsch and J. Sambrook (1982) *Molecular Cloning. A Laboratory Manual*. Cold Spring Harbor Laboratory, Cold Spring Harbor, NY.; *Methods in Enzymology*, Vol. 101 (1983), Recombinant DNA, Part C; Vol. 153 (1987), Recombinant DNA, Part D; Vol. 154 (1987), Recombinant DNA, Part E; Vol. 155 (1987), Recombinant DNA, Part F and Vol. 152 (1987), Guide to Molecular Cloning Techniques, Academic Press, New York). These vectors accomodate cDNA or genomic libraries of large DNA fragments.

35

However, the large DNA fragments generated typically can not be sequenced directly in one run, since Sanger sequencing chemistry only allows about 200 to 500 bases to be read at a time. As a result, long DNA fragments typically must be cut into shorter pieces which are separately sequenced. In one approach this is done in a fully random manner by using, for example, unspecific DNase I digestion, frequently cutting restriction enzymes, or

sonification, and sorting by electrophoresis on agarose gels (Methods in Enzymology, supra). However, this method is time-consuming and often not economical as several sequences are sequenced many times until a contiguous DNA sequence is obtained. Very often the expenditure of work to close the gaps of the total sequence is enormous.

5

Several strategies have been proposed for sequencing long DNA fragments in a non-random, i.e. direct, way from one end through to the other (Methods of Enzymology, supra; S. Henikoff, Gene, 28, 351-59 (1984); S. Henikoff, et al. US Patent No. 4,843,003; and PCT Application WO 91/12341). However, none of these sequencing methods provide an acceptable method of sequencing megabase DNA sequences in either a timely or economical manner. The main reason is that these methods all rely on polyacrylamide gel electrophoresis (PAGE) as a central and key element of the overall process.

10

PCT patent application international publication number WO 94/16101 by Köster describes DNA sequencing procedures, which utilize Sanger base-specific, chain termination reactions to generate from an unknown DNA molecule, nested fragments that are analyzed by mass spectrometry to determine the sequence of the unknown DNA. PCT patent application international publication number WO 94/21822 by Köster describes sequencing techniques in which the mass of remaining nucleic acid molecules or the nucleotides sequentially cleaved by an exonuclease activity are analyzed by mass spectrometry to identify the unknown nucleic acid molecule.

20

Although restriction analyses provide useful information on an unknown DNA sequence, hybridization screening methods can reveal whether a particular sequence is present in an unknown DNA sequence and high throughput sequencing methods can provide the exact sequence of the unknown DNA, the potential of these methodologies have not as yet been realized. One problem is that existing procedures for isolating plasmids from bacterial cells are hampered by centrifugation steps and the processing of single reaction tubes. Centrifugation, which is used to collect cells, remove cellular debris and yield the DNA by ethanol precipitation, can be done simultaneously only with a small number of samples. The handling of single reaction tubes is time-consuming and bears the risk of misplacing samples. To circumvent these problems, methods for the purification of bacteriophage M13 sequencing templates in 96-well microtiter (mt) plates have been developed (Smith, V. *et al.*, (1990) *DNA Sequence* 1, 73-78; and Alderton, R.P. *et al.*, (1992) *Anal. Biochem.* 201, 166-169). Microtiter filter plates have also been employed for harvesting M13 from culture supernatants (Eperon, I.C. (1986) *Anal. Biochem.* 156, 406-412).

30

35

A means to rapidly isolate large numbers of plasmid DNA from plasmid containing cells is needed, particularly for screening large numbers of clones (e.g. for mutations), performing restriction analyses or for performing high throughput DNA sequencing.

5

Summary of the Invention

In general, the instant invention provides processes, kits and preferred devices for rapidly isolating large numbers of plasmid DNAs from plasmid containing cells. Once
10 obtained, the isolated plasmid DNA can be analyzed by hybridization screening or restriction analysis. Alternatively the plasmid DNA can be sequenced.

In one aspect, the invention features a process for isolating plasmid DNAs from plasmid containing cells by: a) filtering plasmid containing cells with a wash solution to
15 disrupt the cell membrane and degrade RNA; b) incubating the plasmid containing cells with a lysis/denaturation solution to lyse the bacterial cells and denature nucleic acids; c) incubating the product of claim b with a renaturation solution for an appropriate period of time and at an appropriate temperature to yield a mixture containing dissolved plasmid DNA, insoluble clots of linear DNA and cellular debris; d) filtering the product of step c) to capture
20 clots of linear DNA and cellular debris on a filter; and e) obtaining plasmid DNA from the filtrate. Preferably, plasmid DNA is obtained from the filtrate by precipitation and capture onto a filter. In addition, preferably the filtration steps are performed under vacuum or high pressure. Most preferably, the process is performed in a plurality of microtiter wells, which are in contact with a regulatable vacuum or pressure source. By performing the process in a
25 microtiter plate arrangement, a number of different plasmid containing cell cultures can be processed simultaneously. Another advantage of a microtiter plate arrangement is that plasmid containing cells can be grown in the microtiter wells and directly processed.

In another aspect, the invention features high throughput DNA sequencing
30 methods. In one embodiment, the method comprises the steps of: a) cloning an unknown DNA sequence into a plasmid; b) filtering plasmid containing cells with a wash solution to disrupt the cell membrane and degrade RNA; c) incubating the plasmid containing cells with a lysis/denaturation solution to lyse the bacterial cells and denature linear DNA; d) renaturing the DNA to yield a mixture containing plasmid DNA, insoluble clots of linear DNA and
35 cellular debris; e) filtering the product of step d) to capture cellular debris and the insoluble clots of linear DNA on a filter; f) obtaining plasmid DNA from the filtrate; and g) analyzing the plasmid DNA or nucleic acid fragments obtained from the plasmid DNA by mass spectrometry to determine the unknown DNA sequence.

In a third aspect, the invention features kits for rapidly isolating large numbers of plasmids, for example for use in performing a high throughput DNA sequencing, restriction analysis or hybridization screening.

5 In a final aspect, the invention features preferred filtration devices comprised of multiwell, microtitre filter plates. Preferably the devices permit the retention of liquid in the wells during the desired incubation period followed by removal of the liquid from each well by filtration, preferably in association with a vacuum or pressure source. Also, preferably, the device permits the analysis of filtrate without cross contamination between
10 wells.

The instant invention allows simultaneous isolation of a large number of different plasmids. A key advantage of this procedure over prior art plasmid preparation procedures is the replacement of all centrifugation steps by filtration. The above and further
15 features and advantages of the instant invention will become clearer from the following Detailed Description and Claims.

Brief Description of the Figures

20 Figure 1 is a schematic representation of a filtration process for plasmid isolation. A) 0.35 ml of a stationary overnight culture are filled into each well of the microtiter (mt) filter plate. Culture medium is removed by suction into a waste tray under the mt filter plate. By repeating this step about 0.8 ml. overnight culture could be collected and filtrated per well. B) 0.1 ml of a wash solution are used to wash the pellet. C) The pellet is
25 agitated and incubated with 0.1 ml of a lysis solution for about 5 minutes. After the addition of 0.075 of a salt solution, the mt filter plate is agitated and cooled for about 30 minutes. D) The supernatant is filtrated into another mt filter plate positioned under the first one to collect the clear filtrate. Due to the presence of an alcohol in the wells of the lower positioned filter plate, the plasmid DNA is precipitated. E) The precipitated DNA is washed and the filtrates
30 collected in a waste tray. F) The plasmid DNA on the filter is dried at room temperature for at least 5 minutes, dissolved in 0.1 ml water and transferred by suction into a standard U-shaped mt plate and either processed for restriction and/or sequencing or covered with a plastic wrap and stored in a freezer.

35 Figure 2 is a readout of the amount of DNA (pOM8 derivative) obtained in one well with the ReadyReaction DyePrimer (-21m13) Cycle Sequencing Kit on an ABI 373A DNA Sequencer (Applied Biosystems).

Figure 3 is a planar view of a filtration apparatus.

Figure 4 is a cross-sectional view of the apparatus depicted in Figure 3.

Figure 5 is a detailed view of the filter element 22 shown in Figures 3 and 4.

5

Detailed Description of the Invention

The invention features processes, kits and preferred devices for rapidly isolating plasmid DNA from plasmid containing cells. As used herein, the term "plasmid" refers to closed circular DNA molecules (single or double stranded) that are maintained in a host cell separate from the host cell genome. The term encompasses naturally occurring bacterial plasmids and derivatives thereof, recombinant plasmids, genetically engineered cosmids and episomes in prokaryotic or eukaryotic host cells.

In general, the novel process for isolating plasmid DNAs from plasmid containing cells involves the following steps: a) filtering plasmid containing cells with a wash solution to disrupt the cell membrane and degrade RNA; b) incubating the plasmid containing cells with a lysis/denaturation solution to lyse the bacterial cells and denature nucleic acids; c) incubating the product of claim b with a renaturation solution for an appropriate period of time and at an appropriate temperature to yield a mixture containing dissolved plasmid DNA, insoluble clots of linear DNA and cellular debris; d) filtering the product of step c) to capture clots of linear DNA and cellular debris on a filter; and e) obtaining plasmid DNA from the filtrate

One advantage provided by the instant process is that plasmid containing cells can be grown directly in the wells of a microtiter plate. In general, a sufficient plasmid yield for screening large numbers of clones or for use in high throughput DNA sequencing can be obtained from about 10^8 - 10^9 cells grown in appropriate growth medium, overnight at room temperature in a 0.25 - 1 mL well.

30

Cells present in each well are then washed and filtered, preferably in a pressure chamber or vacuum, to disrupt cell membranes and degrade RNA (See Figure 1). A particularly useful wash solution contains an isotonic buffer (e.g. a Tris buffer; or a sucrose or glucose solution), a chelating agent (e.g. ethylenediaminetetraacetic acid (EDTA) or (CDTA)) and an RNase (e.g. RNase A, RNase B, RNase C, RNase N1, RNase T1, RNase U1 or RNase U2). The wash solution may also optionally include lysozyme to further weaken cell walls, particularly if large plasmids (e.g. greater than about 45kb) are being isolated.

35

After the wash and filtration step, cells are lysed and linear DNA is denatured, preferably by incubation in an alkaline lysis solution at an appropriate temperature and for an appropriate period of time. As shown in the following example, thorough lysis and denaturation can be accomplished by incubating cells in a sodium hydroxide, sodium dodecyl sulfate solution for about 5 to 10 minutes at room temperature. Optionally, more reactive reagents, such as phenol and chloroform (equal volumes) may be included in the lysis/denaturation solution.

A third, renaturation solution is then added and incubated to yield a mixture containing plasmid DNA, insoluble clots of linear DNA and cellular debris. A preferred process involves agitating the lysate in (e.g. KOAc, pH 4.8 and NaCl) and storing the mixture for at least about 25-30 minutes, preferably at a cold temperature (e.g. at least at about -15°C). For example, storage can occur in a freezer set at -20°C or in ice, in which case the filter bottom may be covered, e.g. with aluminum foil.

Clots of linear DNA and cell debris can then be removed by filtration and the clear filtrate containing dissolved plasmid DNA transferred to a second microtiter filter plate prefilled with a solution (e.g. an alcohol) to precipitate the plasmid DNA. This second plate is preferably positioned directly under the first plate.

The filtrate containing the plasmid DNA can then be analyzed or the DNA can optionally be dried and then redissolved (e.g. in water) or stored preferably in a freezer at about -20°C.

In another aspect, the invention features processes for generating samples to be analyzed by a high throughput DNA sequencing method, such as mass spectrometry, preferably using matrix-assisted, laser desorption/ionization - time of flight (MALDI-TOF) or a MALDI-FT format. In one embodiment, the process comprises the steps of: a) cloning an unknown DNA sequence into a plasmid; b) filtering plasmid containing cells with a wash solution to disrupt the cell membrane and degrade RNA; c) incubating the plasmid containing cells with a lysis/denaturation solution to lyse the bacterial cells and denature linear DNA; d) renaturing the DNA to yield a mixture containing plasmid DNA, insoluble clots of linear DNA and cellular debris; e) filtering the product of step d) to capture cellular debris and the insoluble clots of linear DNA on a filter; f) obtaining plasmid DNA from the filtrate; and g) analyzing the plasmid DNA or nucleic acid fragments obtained from the plasmid DNA by mass spectrometry to determine the unknown DNA sequence.

Preferred procedures for performing mass spectrometry analyses of nucleic acid fragments are described in PCT patent application international publication number WO

94/16101 by Köster and PCT patent application international publication number WO 94/21822 also by Köster which are fully incorporated by reference herein.

To facilitate mass spectrometric analysis, base specifically terminated
5 fragments can be immobilized or attached to a solid support, such as a filter membrane or a
sidewell of the microtiter plate. Such attachment can be accomplished, for example, by
hybridization of the universal primer sequence to a complementary sequence covalently
linked to the membrane or by a linking functionality on the universal primer which interacts
10 with a functional group on the membrane to form a linkage which is reversible under
chemical, enzymatic or physical conditions (i.e. is cleaved during mass spectrometry).

Immobilization can be accomplished, for example, based on hybridization
between a capture nucleic acid sequence, which has already been immobilized to the
support and a complementary nucleic acid sequence, which is also contained within the
15 nucleic acid molecule containing the nucleic acid sequence to be detected. So that
hybridization between the complementary nucleic acid molecules is not hindered by the
support, the capture nucleic acid can include a spacer region of at least about five
nucleotides in length between the solid support and the capture nucleic acid sequence.
The duplex formed will be cleaved under the influence of the laser pulse and desorption
20 can be initiated. The solid support-bound base sequence can be presented through natural
oligoribo- or oligodeoxyribonucleotide as well as analogs (e.g. thio-modified
phosphodiester or phosphotriester backbone) or employing oligonucleotide mimetics such
as PNA analogs (see e.g. Nielsen *et al.*, Science, 254, 1497 (1991)) which render the base
sequence less susceptible to enzymatic degradation and hence increases overall stability of
25 the solid support-bound capture base sequence.

Alternatively, a target detection site can be directly linked to a solid support
via a reversible or irreversible bond between an appropriate functionality (L') on the target
nucleic acid molecule (T) and an appropriate functionality (L) on the capture molecule. A
30 reversible linkage can be such that it is cleaved under the conditions of mass spectrometry
(i.e., a photocleavable bond such as a charge transfer complex or a labile bond being
formed between relatively stable organic radicals). Furthermore, the linkage can be
formed with L' being a quaternary ammonium group, in which case, preferably, the
surface of the solid support carries negative charges which repel the negatively charged
35 nucleic acid backbone and thus facilitate the desorption required for analysis by a mass
spectrometer. Desorption can occur either by the heat created by the laser pulse and/or,
depending on L', by specific absorption of laser energy which is in resonance with the L'
chromophore.

By way of example, the L-L' chemistry can be of a type of disulfide bond (chemically cleavable, for example, by mercaptoethanol or dithioerythrol), a biotin/streptavidin system, a heterobifunctional derivative of a trityl ether group (Köster *et al.*, "A Versatile Acid-Labile Linker for Modification of Synthetic Biomolecules," Tetrahedron Letters 31, 7095 (1990)) which can be cleaved under mildly acidic conditions as well as under conditions of mass spectrometry, a levulinyl group cleavable under almost neutral conditions with a hydrazinium/acetate buffer, an arginine-arginine or lysine-lysine bond cleavable by an endopeptidase enzyme like trypsin or a pyrophosphate bond cleavable by a pyrophosphatase.

10

The functionalities, L and L', can also form a charge transfer complex and thereby form the temporary L-L' linkage. Since in many cases the "charge-transfer band" can be determined by UV/vis spectrometry (see e.g. Organic Charge Transfer Complexes by R. Foster, Academic Press, 1969), the laser energy can be tuned to the corresponding energy of the charge-transfer wavelength and, thus, a specific desorption off the solid support can be initiated. Those skilled in the art will recognize that several combinations can serve this purpose and that the donor functionality can be either on the solid support or coupled to the nucleic acid molecule to be detected or vice versa.

15

In yet another approach, a reversible L-L' linkage can be generated by homolytically forming relatively stable radicals. Under the influence of the laser pulse, desorption (as discussed above) as well as ionization will take place at the radical position. Those skilled in the art will recognize that other organic radicals can be selected and that, in relation to the dissociation energies needed to homolytically cleave the bond between them, a corresponding laser wavelength can be selected (see e.g. Reactive Molecules by C. Wentrup, John Wiley & Sons, 1984).

20

25

An anchoring function L' can also be incorporated into a target capturing sequence by using appropriate primers during an amplification procedure as described further below.

30

Prior to mass spectrometric analysis, it may be useful to "condition" nucleic acid molecules, for example to decrease the laser energy required for volatilization and/or to minimize fragmentation. Conditioning is preferably performed while a nucleic acid fragment is immobilized. An example of conditioning is modification of the phosphodiester backbone of the nucleic acid molecule (e.g. cation exchange), which can be useful for eliminating peak broadening due to a heterogeneity in the cations bound per nucleotide unit. Contacting a nucleic acid molecule with an alkylating agent such as alkyl iodide, iodoacetamide, β -iodoethanol, or 2,3-epoxy-1-propanol, the monothio phosphodiester bonds of a nucleic acid

35

5 molecule can be transformed into a phosphotriester bond. Further conditioning involves incorporating nucleotides which reduce sensitivity for depurination (fragmentation during MS) such as N7- or N9-deazapurine nucleotides, or RNA building blocks or using oligonucleotide triesters or incorporating phosphorothioate functions which are alkylated or employing oligonucleotide mimetics such as PNA.

10 Prior to analysis by mass spectrometry, the nested nucleic acid fragments can be amplified to generate an appropriate quantity of a nucleic acid molecules on which to perform mass spectrometry. Examples of appropriate amplification procedures for use in the invention include: cloning (Sambrook et al., *Molecular Cloning : A Laboratory Manual*, Cold Spring Harbor Laboratory Press, 1989), polymerase chain reaction (PCR) (C.R. Newton and A. Graham, *PCR*, BIOS Publishers, 1994), ligase chain reaction (LCR) (F. Barany *Proc. Natl. Acad. Sci USA* 88, 189-93 (1991), strand displacement amplification (SDA) (G. Terrance Walker et al., *Nucleic Acids Res.* 22, 2670-77 (1994)) and variations such as RT-PCR, allele-specific amplification (ASA) etc.

15 In a further aspect, the invention features kits for performing plasmid filtrations. For example, in one embodiment, the kit comprises: 1) a container of a cell growth medium; 2) a container of a cell wash solution; 3) a container of lysis/denaturation solution; 4) a container of renaturation solution; and 5) a container of a solution for precipitating DNA.

25 The kit can also optionally include containers of reagents for performing further reactions on the isolated plasmid DNA. For example, the kit can include containers of restriction enzymes; DNA polymerases (e.g. DNA polymerase I (Holoenzyme), large fragment of DNA polymerase I (Klenow fragment), bacteriophage T4 DNA polymerase, bacteriophage T7 DNA polymerase, modified bacteriophage T7 DNA polymerase, Taq DNA polymerase, reverse transcriptase (RNA-dependent and DNA-dependent) and terminal transferases); DNA-dependent DNA polymerase (bacteriophage SP6 and bacteriophage T7 and T3 RNA polymerase); ligases (bacteriophage T4 DNA ligase, E. coli DNA ligase, bacteriophage T4 RNA ligase); kinases; methylases; phosphatases (e.g. alkaline phosphatase); and nucleases (e.g. nuclease BAL, nuclease S1, mung-bean nuclease, ribonucleases (RNase A, RNase B, RNase C, RNase N1, RNase T1, RNase U1 or RNase U2), exonuclease III, bacteriophage λ exonuclease.

35 In another aspect the invention comprises a preferred filtration device comprising a multiwell microtiter filter plate. The wells must be of an appropriate volume to accommodate an appropriate number of plasmid containing cells to yield sufficient plasmid

DNA. However, too large a volume comprising too many plasmid containing cells can result in membrane clogging in any of the subsequent filtration steps.

Preferably, the membrane has an appropriate pore diameter for retaining
5 plasmid DNA (e.g. 0.1 - 1 μm), but not other cell components. Representative suitable microporous membranes include nitrocellulose, cellulose, cellulose acetate, polycarbonate, polyvinylidene fluoride, polysulfone. The use of composite, multilayered membranes including glass fiber can also be envisioned. The filter membrane can also be functionalized by the introduction of groups such as amino, hydroxy, thio, carboxy, activated carboxy
10 groups

The precipitated DNA is dissolved in an aqueous solution (e.g. water or an aqueous buffer) and transferred by suction (or pressure) into a standard microtitre plate (e.g. with a U-shaped bottom).

15 Figure 3 illustrates one filtration apparatus 10 suitable for use in the above-described filtration process. The illustrated filtration apparatus 10 includes a multi-well plate 12 having a plurality of individual well elements 14. The plate 12 sits above a base element 16 that includes a vacuum connector 18 for connecting to a pump element. The plate 12 is
20 removably and replaceably mounted to the base 16 so that, as will be described in greater detail hereinafter, the plate 12 can be seated above a lower plate of plural wells or a waste tray, and filtrate from the first plate 12 can pass to the wells of the lower plate or into the waste tray.

25 Figure 4 illustrates a cross-sectional view of the filter apparatus 10 depicted in Figure 3 with the filter plate 12 seated against the gasket element 40 that extends around the inner sidewall of the base 16. Figure 4 illustrates that the filter apparatus 10 can include a multi-well plate 12 that has a plurality of well elements 14, each of which includes a sidewall 20 having a filter membrane 22 that spans across a lower portion of the well element 14.
30 Optionally, the base 16 includes a support plate 24 disposed below the filter membrane 22 and adapted to collect filtrate passing through the membrane 22. To this end, the support plate 24 includes a central aperture 26 adapted to allow filtrate to flow from the individual wells 14 and to direct the droplets of filtrate into a container disposed beneath the plate 12. As further depicted by Figure 4, the illustrated filter apparatus 10 includes a base element 16
35 that has a support post 42 that can hold a lower plate 38 that has a plurality of individual well elements 28, each of which is disposed beneath a well element 14 of the multi-well plate 12. The illustrated lower plate 38 is removably and replaceably mounted onto the post 42. Each lower well element 28 includes a sidewall 30 and a filter element 32 that spans across the lower portion of the sidewall 30. As further illustrated by Figure 4, a waste tray element 34

can be disposed along the bottom wall of the base 16. In typical practice of the invention, either the waste tray 34 or the lower plate 38 would be disposed beneath the plate element 12 to collect the filtrate passing therefrom.

5 As further depicted by Figure 4, the plate element 12 sits above a gasket ring 40 that extends around the interior periphery of base element 16 and forms a shelf for receiving the plate element 12. The plate element 12 can sit against the gasket 40 for forming an airtight seal upon the evacuation of air from the base element 16 through the vacuum port 18.

10 Figure 5 illustrates in more detail one filter element 22 which can be a two-ply membrane sealed at its periphery to the sidewall 20. The top ply of membrane 22 can be of polyvinylidene fluoride (PVDF) with a pore diameter of .2 μm with low binding capacity for nucleic acids and proteins. The bottom ply of filter element 22 can optionally be formed by an impermeable fluoropolymer with a central hole 22a that improves dripping properties.

15 This filter membrane 22 is preferably adapted to be tight under pressures within the filter apparatus 10, but allows controlled dropwise filtration when a vacuum is applied through port 18. In such conditions, the gasket element 40, as well as the filter elements 22 or 32, cooperate to maintain a vacuum pressure within the filter apparatus 10. Optionally, a fluid, such as water, can be added to any wells that are not employed for receiving a sample, such

20 that a low pressure is more easily maintained within the filter apparatus 10.

 As described above, in operation plasmid containing cells are placed into one or more of the wells 14 and are washed to disrupt cell membranes and degrade RNA. By application of a vacuum through port 18 a pressure is generated within the apparatus 10 and

25 filtrate material is drawn through the filter element 22. The waste tray is positioned directly below the plate element 12 to collect the filtrate and the filtrate is discarded and the cellular material is maintained within the well 14. After the wash and filtration step, cells within well 14 are lysed by incubation in a lysis solution. An ice-cold isotonic solution is then added to the lysate and agitated and stored for the appropriate time at the appropriate temperature. The

30 denatured proteins and cell debris are then removed by filtration, preferably by vacuum, the lower plate 38 is disposed beneath the plate element 12 and the clear filtrate is transferred to the lower wells 28 which are then prefilled with alcohol. The precipitated DNA is then optionally agitated and filtered and washed with a cold alcohol solution. The filtrate containing the plasmid DNA can then be analyzed. Optionally, the plate 12 and the plate 38

35 are interchangeable so that during these optional steps, the plate element 12 can be removed from the base 16 and the lower plate 38 can be seated against the gasket 40 to form a pressure seal with the base 16. Waste filtrate can be collected from the plate 38 and into the tray 34 by application of a vacuum.

The present invention is further illustrated by the following examples which should not be construed as limiting in any way. The contents of all cited references (including literature references, issued patents, published patent applications, and co-pending patent applications) cited throughout this application are hereby expressly incorporated by reference.

Examples

Comparison of Yield and Purity of Plasmid DNA Isolated by: 1) Filtration, 2) Alkaline Lysis or 3) a Qiagen Midi Column

Materials and Methods

Bacterial Strains and Plasmids

E. coli K12 strains HB101 and XL1-Blue (Stratagene) were grown in LB broth (Miller, J. (1972) Experiments in Molecular Genetics, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY.) supplemented with 100 µg ampicillin/mL (Binotal, Bayer). Plasmids were multicopy derivatives from pOM8 and pOM9 (Oberbäumer, I. (1986) *Gene* 49, 81-91): pOM8BAP2 carries a 2444-bp *RsaI*-*XhoI* fragment with the *phoA* gene of *E. coli* (Chang, C.N., Kuang, W.J., and Chen, E.Y. (1986) *Gene* 44, 121-125) between the *SmaI* and *SalI* restriction site of pOM8. Clones 2C-2G and 3C-3G were XL-1-Blue cells harboring pOM9 with *HindIII* fragments of *Thermus aquaticus* (*T. aquaticus*) genomic DNA.

DNA Isolation

To compare purity and yield of plasmid DNA isolated via the filtration method with the frequently used alkaline lysis method (Birnboim, H.C., and Doly, J. (1979) *Nucleic Acids Res.* 7, 1513-1523) and the use of Qiagen midi column (Qiagen, Hilden) aliquots of the same bacterial culture were processed in parallel.

Restriction Analysis

Plasmid DNA was incubated with restriction enzymes (Boehringer, Mannheim) according to manufacturer's protocol. The digested DNA sample was mixed with 5 µL loading buffer (50 mM EDTA, 50% glycerol, 0.005% bromophenol blue) and fragments were separated by electrophoresis on a 1% agarose gel. As a length standard the DNA molecular weight standard II (Boehringer Mannheim) was used. After staining with ethidium bromide, DNA bands were visualized with a uv transilluminator at 254 nm and photographed using a Polaroid CU-5 Land camera equipped with an orange filter on a Polaroid 667 film.

Plasmid Sequencing

Sequence analysis with internal ^{35}S label was carried out with the SequiTherm Cycle Sequencing Kit (Epicentre Technologies), 10 μCi [^{35}S]dATP (NEN duPont), and the M13 universal (-20) sequencing primer.

Sequencing reaction for the automated sequencing was carried out with the Ready Reaction Dye Primer Cycle Sequencing Kit and the -21M13 Dye Primer (Applied Biosystems). The sequence was developed on a Model 373A DNA sequencer (Applied Biosystems).

Plasmid Isolation by Filtration (Figure 1)

If less than 96 samples were to be processed, the remaining wells of the mt filter plate (Eppendorf) were filled with 0.1 mL water. These wells could be reused in subsequent experiments. In each well of the mt filter plate with a maximal volume of 0.37 mL, 0.35 mL of an *E. coli* overnight culture (about 10^9 cells) was filtered and washed with 0.1 mL solution I (50 mM Tris-HCl, pH8, 10 mM EDTA, 0.1 mg/ml RNase A) in the EVENT suction chambers (Eppendorf). The filtrates were collected in a waste tray in the suction chamber. By repeating this step 0.8 mL of an *E. coli* overnight culture could be processed in each well. Applying larger volumes resulted in clogging of the membranes in the subsequent filtration steps after alkaline lysis. In the experiments described here, only a culture volume of up to 0.35 mL per well was employed. Lysis was achieved by incubation with 0.1 mL solution II (140 mM NaOH, 0.7% SDS) for 5 min at room temperature. After addition of ice-cold 0.075 mL solution III (2.25 M KOAc, pH 4.8, 0.56 M NaCl) the microtiter plate was agitated vigorously and placed for 30 min on ice covered with aluminum foil, or stored for 30 min in a freezer at -20°C . Denatured proteins and cell debris were removed by filtration, and the clear filtrate was transferred by suction into a second mt filter plate prefilled with 0.1 mL isopropanol per well. This second mt filter plate was positioned in the chamber right underneath the first one and the waste tray was replaced. After shaking, the precipitated DNA was filtered and washed with 0.35 mL ice-cold 70% ethanol, and the filtrate was collected in the waste tray. The DNA was dried at room temperature for 5 min, dissolved in 0.1 mL water, and transferred by suction into a standard microtiter plate, preferably with U-shaped bottom. The microtiter plate with 96 or less isolated plasmid DNAs was sealed with adhesive tape and stored in a freezer at -20°C .

Plasmid DNA Yields

To ensure reproducibility of the isolation procedure, 10% aliquots (10 μL) of the pOM8BAP2 DNA solution randomly picked from different wells of one mt filter plate were loaded on a 1% agarose gel. The band intensities displayed comparable amounts of

isolated plasmid DNA in each well. Due to the RNase A contents in solution I, the RNA contamination in the samples processed by the filtration method was greatly reduced. In contrast, DNA isolated by the standard alkaline lysis procedure was contaminated with large amounts of RNA.

5 Both complete precipitation and retention of the precipitated plasmid DNA on the membrane filter were crucial for this isolation procedure. To detect residual plasmid DNA in the filtrate after isopropanol precipitation, filtrates from single wells were collected in a standard mt filter plate and mixed in an Eppendorf tube with 1 mL ethanol and subjected
10 to centrifugation for 20 min at 15,000 g. The pellet was dissolved in 100 μ L water and 10% was analyzed by agarose gel electrophoresis; only traces of plasmid DNA could be detected.

Regarding plasmid DNA yields, the filtration method was compared with mini preparation in Eppendorf tubes and the use of Qiagen midi columns by isolating plasmid
15 DNA from the same bacterial overnight culture starting with volumes of 0.35, 1.5, and 50 mL, respectively. The Qiagen midi column was chosen to obtain sufficient amount of DNA for uv measurement. Since plasmid DNA isolated by the alkaline lysis method, was contaminated with proteins and residual RNA, and therefore could not be measured directly by its absorbance at 260 nm, the amount of isolated plasmid DNA was estimated by
20 comparing band intensities on ethidium bromide stained gels according to the minigel method (Maniatis, T., Fritsch, E.F., and Sambrook, J. (1982) Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY). DNA aliquots derived from 35 μ L culture (10% of total plasmid yield per well in 10 μ L) were analyzed on a 1% agarose gel. The average yield with the pOM-derived multicopy plasmid
25 was estimated to be 0.3 to 0.5 μ g per well.

Restriction Analysis

Ten microliters of the isolated plasmid DNA solution (i.e., 10% of a total yield per well) of pOM8BAP2 was incubated with the restriction enzymes *EcoRI*, *DraI*, and *PstI*
30 (Boehringer, Mannheim), mixed with loading buffer, and separated by agarose gel electrophoresis. The expected fragments were obtained. Degradation due to contamination with exonucleases was not observed. It is apparent that with the filtration method, no further purification step such as phenol/chloroform extraction was needed. Moreover, traces of residual RNA had no negative influence on the activity of restriction endonucleases and did
35 not interfere with the detection of smaller DNA fragments on agarose gels. An additional RNase treatment was therefore not necessary.

Bacterial Cultivation in mt Filter Plates

Another advantage of the filtration method, is that the plasmid DNA preparation process could be initiated by growing the bacterial cells directly in the wells of the Eppendorf mt filter plate. The wells were filled with 0.35 mL of LB broth supplemented with ampicillin (100 µg/mL). *E. coli* clones harboring *HindIII* genomic fragments from *T. aquaticus* in pOM9 vector were inoculated with tooth-picks or pipet tips directly from an agar plate. The mt filter plates were incubated overnight at 37°C in an incubator. To avoid evaporation during incubation, the mt filter plates were placed in a plastic box containing a water reservoir. Alternatively, mt filter plates covered with a second mt filter plate filled with water were incubated overnight at 37°C under shaking at 800 rpm on a thermomixer (Eppendorf). Both procedures worked comparably well. Plasmid DNA prepared from the clones grown in mt filter plates was found to be equal in amount and quality to the bacterial cultures grown separately in a standard incubator under shaking.

For storage 5 µL aliquots of the bacterial clones from each of the 96 wells were transferred prior to filtration with a multichannel pipet into a standard mt plate containing 100 µL medium in each well. Sealed with Saran Wrap or adhesive tape, the clones could be stored for a couple of days at 4°C.

Sequence Analysis with Internal ³⁵S Label in the Cycle Sequencing Reaction
pOM9-derived plasmids with genomic *HindIII* fragments from *T. aquaticus* were analyzed. Ninety percent of the total yield from clone 3A was ethanol precipitated and dissolved in 4 µL water. The reaction mix was carried out as prescribed for the Sequitherm kit (Epicentre Technologies) with the M13 universal sequencing primer. The cycle program consisted of a denaturation step of 5 min at 95°C and 30 cycles each of 30 s at 95°C, 30s at 40°C, and 60s at 70°C. The annealing step in the cycle (30s at 40°C) was important to obtain high quality sequencing results.

The total reaction mixtures were run on a sequencing gel. The X-ray film was exposed overnight. The first 100nt of the *T. aquaticus* sequence had a GC content of 58%.

Automated Sequencing with the ABI 373A DNA Sequencer

The amount of plasmid DNA pOM8BAP2, obtained from a single well was used for DNA sequencing reactions. Following the standard protocol as described in the Ready Reaction Dye Primer Cycle Sequencing Kit (Applied Biosystems) with -21M13 Dye Primer, reliable readings up to 400 and more bases could be obtained.

Equivalents

- 5 Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, numerous equivalents to the specific procedures described herein. Such equivalents are considered to be within the scope of this invention and are covered by the following claims.

Claims

What is claimed is:

5

1. A filtration device comprising:

10

a) a first plate having at least one first well, each of said first well having a first open end, a sidewall, and a second end, said second end containing a microporous membrane to allow filtrate to flow from the first well; and

15

b) a second plate having at least one second well that is disposed to receive filtrate from said first well and each of said second well having a first open end, a side wall, and a second end containing a microporous membrane to allow filtrate to flow through;

20

c) a base element that includes a vacuum connector for connecting to a pump element and to which the first plate and/or second plate can be removably mounted.

2. A filtration device of claim 1, including a plurality of said wells.

25

3. A filtration device of claim 1, wherein the pores of the membrane is 0.1-1 μ m in diameter.

30

4. A filtration device of claim 1, wherein a support plate is in connection with the base and is disposed below the filter membrane, said support plate including a central aperture adapted to allow filtrate to flow from said well.

35

5. A filtration device of claim 1, wherein the microporous membrane is selected from the group consisting of: nitrocellulose, cellulose, cellulose acetate, polycarbonate, polyvinylidene fluoride and polysulfone.

6. A filtration device of claim 1, wherein the microporous membrane is functionalized.

7. A filtration device of claim 1, wherein the first plate is a multi-well plate.

8. A filtration device of claim 1, the base element has a support post that holds the second plate.

5 9. A filtration device of claim 1, which is further comprised of a waste tray element that is disposed along the bottom wall of the base.

10 10. A filtration device of claim 1, wherein the first plate sits above a gasket ring that extends around the interior periphery of the base element and forms a shelf for receiving the first plate.

1/3

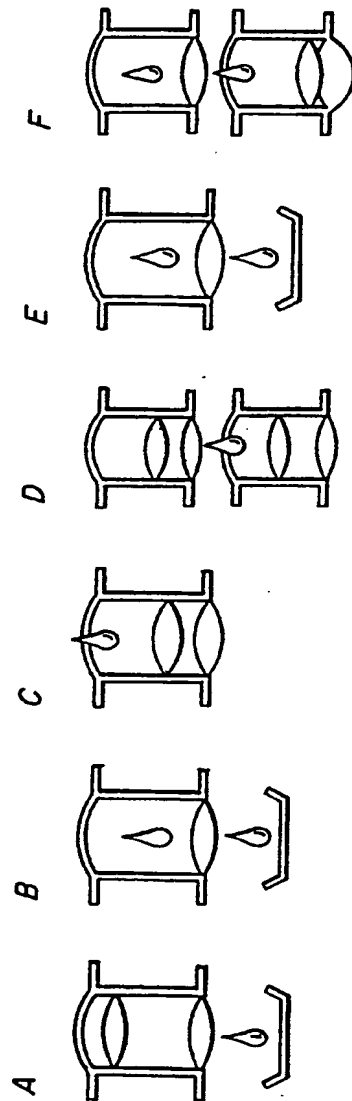


FIG. 1

2/3

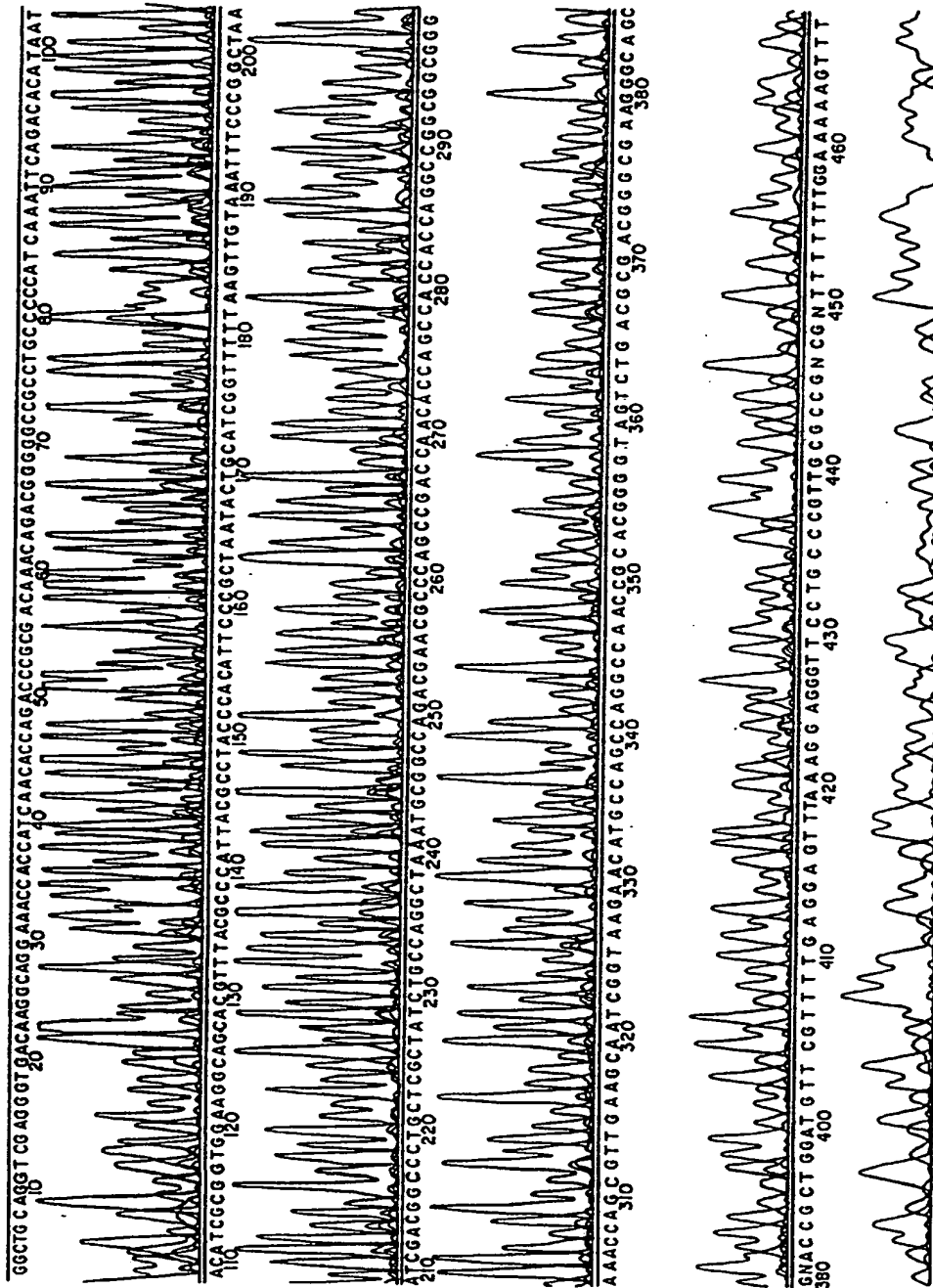


FIG. 2

3/3

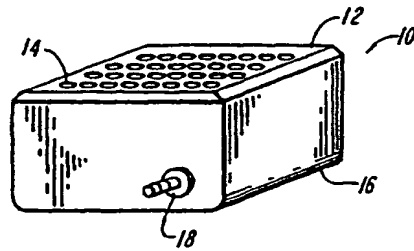


FIG. 3

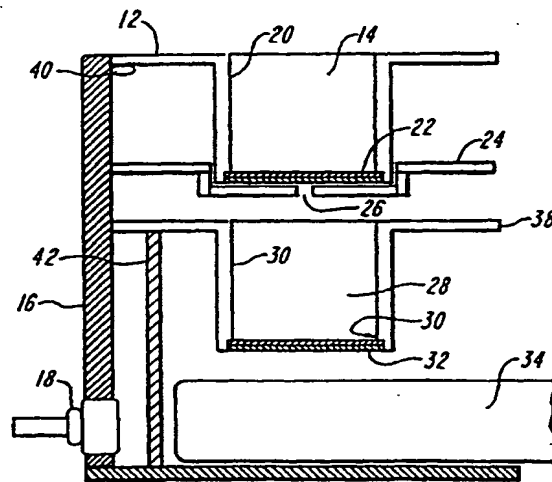


FIG. 4

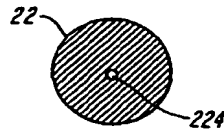


FIG. 5

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 96/13920

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C12N15/10 B01D61/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 C12N B01D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	ANALYTICAL BIOCHEMISTRY, vol. 230, 1995, pages 130-134, XP002019649 A. RUPPERT ET AL.: "A filtration method for plasmid isolation using microtiter filter plates" *see the whole article* ---	1-10
X	EP,A,0 517 515 (TOSOH CORPORATION) 9 December 1992 *see the whole patent* ---	1-10
X	EP,A,0 376 080 (TALENT SRL) 4 July 1990 *see the whole patent* -----	1-10

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

27 November 1996

Date of mailing of the international search report

29. 01. 97

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+ 31-70) 340-3016

Authorized officer

Marie, A

INTERNATIONAL SEARCH REPORT

Information on patent family members

International publication No
PCT/US 96/13920

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0517515	09-12-92	JP-A- 4360686	14-12-92
EP-A-0376080	04-07-90	CA-A- 2006185	22-06-90

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.